PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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July 14, 2003; October 16, 2003

| TRANSMITTAL LETTER TO THE UNITED STATES |
|---|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 |

NL 031212 U.S. APPLICATION PRIORITY DATE CLAIMED

PCT/IB2004/051067 TITLE OF INVENTION

20. 🗸

Other items or information:

INTERNATIONAL APPLICATION NO.

METHOD AND DEVICE FOR DETERMINING WRITE PARAMETERS FOR RECORDING INFORMATION ON A RECORD CARRIER APPLICANT(S) FOR DO/EO/US Willem Marie Julia Marcel COENE; Johannes Wilhelmus Maria BERGMANS; Albert Hendrik Jan IMMINK; Christopher BUSCH; Alexander Marc VAN DER LEE; Andries Pieter HEKSTRA; Aloysius Michael Josephus Maria SPRUIJT; Johannes Martinus DE RUIJTER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). **√** An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Î Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. 🔽 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

INTERNATIONAL FILING DATE

June 30, 2004

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, ommissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Express Mail Certificate; PTO/SB/96; Charge Authorization; Receipt Confirmation Postcard

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | ATTORNEY'S DOCKET NUMBER | | | |
|--|--------------|---|----------------|--------------------------|------------------------------|--------------|--|
| 10/564536 PCT/IB2004/051067 | | | | | NL 031212 | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY | | |
| 21. Basic national fee\$300 | | | | | \$ 300.00 | | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | \$ 200.00 | | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | \$ 400.00 | | |
| | | | | | \$ 900.00 | | |
| TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding | | | | | \$ 900.00 | | |
| sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | : | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | | | |
| - 100 = | /50 = | | | x \$250 | \$ | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | \$ | | |
| CLAIMS | NUME | BER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims | 19 | - 20 = | | x \$ 50 | \$ 0.00 | | |
| Independent claims 2 | | - 3 = | | × \$200 | \$ 0.00 | | |
| MULTIPLE DEPE | | S) (if applicable) | | + \$360 | \$ | | |
| 11102111 22 021 2 | | 5) (II applicable) | TOTAL OF ABOVE | CALCULATIONS = | \$ 0.00 | + | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | V 0.00 | | |
| SUBTOTAL = | | | | | \$ 900.00 | \ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | \$ | - I | |
| TOTAL NATIONAL FEE = | | | | | \$ 900.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ 40.00 | | |
| TOTAL FEES ENCLOSED = | | | | | \$ 940.00 | | |
| TOTAL FEED ENGLOSED = | | | | Amount to be | \$ | | |
| | | | | | refunded: Amount to be | \$ 940.00 | |
| | | | | | charged: | 1 | |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed. | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | . 1/1406 Bgn-(veg.50,1451 | -a | |
| Corporate Patent Counsel SIGNATURE | | | | | <i>y</i> | | |
| Philips Electronics North America Corporation Michael E. Belk NAME | | | | | | | |
| | . Box 3001 | | | | | | |
| Briarcliff Manor, NY 10510 33,357 REGISTRATION | | | | | ON NUMBER | | |
| REGISTRAT | | | | | | | |

IAP15 Rec'd PCT/PTO 12 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

COENE ET AL.

NL031212

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

Title: METHOD AND DEVICE FOR DETERMINING WRITE PARAMETERS FOR

RECORDING INFORMATION ON A RECORD CARRIER

Commissioner for Patents Alexandria, VA 22313-1450

| CERTIFICATE OF EXPRESS MAILING |
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| Express Mail Label No. EV746348374US |
| Date of Deposit January 12, 2006 |
| I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450 |
| Natale A. Manzo Typed Name Signature |